Professor Dr. Joachim Ahrens

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1. Curriculum vitae

Personalia

Born: September 10, 1963. Married, one child.

Education

10/84 - 05/89	Studies in economics and business administration at the Georg-August-
	Universität in Göttingen
05/89	Graduation as "Diplom-Volkswirt"
12/93	Ph.D. received (Promotion) (summa cum laude, University of Göttingen)
10/97 - 03/00	Studies in political science at the Georg-August-Universität in Göttingen
12/00	Habilitation and Venia legendi in economics (University of Göttingen);
	topic of the Habilitation thesis: "Governance and Economic
	Development. A Comparative Institutional Approach"

Research and teaching experience

04/86 - 04/89	Teaching assistant at the institute <i>Mathematics for Economists/</i> University of Göttingen
10/87 - 03/88	Research assistant, <i>Institute of Political Economy</i> /University of Göttingen
05/89 - 12/93	Research and teaching assistant at the Department of Economics/ University of Göttingen
10/91 - 12/91	Fellow at the Russian Research Center/Harvard University, Cambridge, MA, USA
12/93 - 12/00	Assistant professor (<i>wissenschaftlicher Angestellter</i>) at the Department of Economics/University of Göttingen
03/94 - 04/94	Visiting lecturer at the <i>State University Kaliningrad</i> /Russian Federation
09/95 - 05/96	Research Associate at the <i>Department of Economics/University of California at Berkeley</i> , Berkeley, CA, USA
03/96 - 05/96	Visiting Scholar, <i>Hoover Institution/Stanford University</i> , Stanford, CA, USA
04/96	Visiting lecturer at Columbus and Macon College, Georgia/USA
04/99 - 02/03	Member of the Center for Globalization and Europeanization of the Economy/University Göttingen
01/01 - 02/03	Associate professor (<i>Privatdozent</i>) at the Department of Economics/ University of Göttingen
07/02 - 08/02	Visiting Scholar at the <i>East-West Center</i> , Honolulu, HI, USA
03/03 - 02/11	Professor of Economics, European Business School, Oestrich-Winkel
01/05 - 09/08	Visiting Professor at Bordeaux Business School
Since 03/06	Professor of International Economics at PFH Göttingen (Private University of Applied Sciences Göttingen)

Further professional experience

07/91 - 09/91	Internship at the International Monetary Fund, Washington, D.C., USA
02/94	Fellow at the Salzburg Seminar (Session 310: Economies in Transition)
02/98 - 06/98	Economist at the Asian Development Bank in Manila/Philippines
	(Focus: governance and institutional reform in Laos and Vietnam)
12/09	Consultant for International Labour Organisation, Geneva, Switzerland

University service

Since 2015	Vice-President for Academic and International Affairs at PFH Göttingen
Since 2015	Member of the Examination Commission at PFH Göttingen
2006 - 2015	Member of the Senate of PFH Göttingen
2006 - 2015	Head of International Office at PFH Göttingen
2006	Development of Bachelor and Master programs at the Private University
	of Applied Sciences Göttingen and preparation of their accreditation
2003 - 2006	Member of the Teaching Committee at the European Business School
2003 - 2006	Member of the Senate of the European Business School
2003 - 2004	Development of Bachelor programs at the ebs and preparation for their
	accreditation
2001 - 2003	Member of the Teaching Commission at the University of Göttingen
1996 - 2003	Member of the Academic Council of the University of Göttingen
1995 – 1999	Member of the Construction Commission of the University of Göttingen
1993 – 2003	Member of the Board of Examiners at the Economics Department/
	University of Göttingen
1991 – 1993	Member of the Council of the Economics Department, second speaker of
	the Council of Academic Assistants of the University of Göttingen

Honors, grants, and third-party funds

1989	Gustav-Hopf Award (for the best graduate of the year)
1991	Grant received from the German Academic Exchange Service (Research at
	Harvard University)
1994	Award of honor for the dissertation in the course of providing the Walter-
	Eucken Award by the Friedrich-Schiller-University in Jena
1995/96	Grant from the German Research Association (DFG) and Fulbright Fellowship
	(Research at the University of California at Berkeley and the Hoover
	Institution/Stanford University)
1996 – 2007	Numerous travel and research grants from the DFG
2002	Grant from the European Commission for a project on the implementation of
	research and innovation policy in EU accession countries
2003	Award of Excellence in Teaching, European Business School
2007 - 2011	Project financed by the VolkswagenFoundation; Topic: "Emerging Market
	Economies in Central Asia: The Role of Institutional Complementarities in
	Reform Processes";
	Cooperation partners: World Bank, Washington, D.C.; Osteuropa-Institut
	München/ Regensburg; Public Policy Research Center Almaty, Kazakhstan;
	Center for Economic Research Tashkent, Uzbekistan.

- 2010 2013 Member in Kompetenznetz Institutionen und institutioneller Wandel im Postsozialismus: Zwischen Geschichtlichkeit und globalem Anpassungsdruck (KomPost), BMBF Programme to strengthen and develop regional studies; project on "The state as a catalyst of development? Central Asia from the viewpoint of the developmental-state approach"
- 2014–2015 Member in Kompetenznetz Institutionen und institutioneller Wandel im Postsozialismus: Zwischen Geschichtlichkeit und globalem Anpassungsdruck (KomPost), BMBF Programme to strengthen and develop regional studies; project on "State Capitalisms and Dual Economies"
- 2015 Research Conference financed by the Academy of Korean Studies
- 2015 2018 Project financed by the VolkswagenFoundation; Topic: "Governance in Emerging Economies";
 Cooperation partners: Ivane Javakhishvili Tbilisi State University, Georgia, KIMEP University Almaty, Kazakhstan, University of Groningen, the Netherlands.

Professional affiliations

German Economic Association (*Verein für Socialpolitik*; committee for less developed countries; committee for economic policymaking; committee for economic systems and institutional economics)

LIST-Society, American Economic Association, International Society for New Institutional Economics, Association for Comparative Economic Studies, European Association for Comparative Economic Studies, Korea-America Economic Association

Referee for

Area, Asian Development Review, Central Asian Survey, Economic Systems, Edward Elgar Publishing, European Journal of Comparative Economics, Europe-Asia Studies, International Journal of Public Administration, Journal of Business Research, Journal of East Asian Studies, Journal of Eurasian Studies, List Forum, North Korean Review, Regional Studies, Palgrave Macmillan, Review of Development Economics, Routledge Publishing House, Telecommunications Policy, Transnational Corporations, World Development, Zeitschrift für Betriebswirtschaftslehre

Bertelsmann Foundation, Fulbright Commission, International Labour Organisation, Netherlands Organisation for Scientific Research (NOW), Volkswagen Foundation, World Bank (Research Department)

Editorial Board: Central Asia Business Journal, Economic and Environmental Studies, Emerging Markets Studies (book series), North Korean Review

Language skills

German: native English: fluent Russian, French, Spanish: basic skills

Country experiences

Kazakhstan, Laos, Philippines, Russia, USA, Uzbekistan, Vietnam

Research interests

• International Political Economy, Development Economics and Politics, International Relations, Innovation Processes

Application: political economy of policy reforms; economic policy at the national, supranational, and global level; transition economics; political economy of international organizations; innovation- und technology policy in transition and developing countries; regional integration processes.

Methodology: Macroeconomic theories and modeling, Public Choice, New Institutionalism, International Political Economy, empirical analyses.

• Policy Analysis, Structures of Governance, Institutional Foundations of Politics, Global Governance

Application: Governance and institutional change in Central and Eastern Europe, Central Asia, and East Asia; institutional aspects of globalization processes; European integration, differentiation, and EU enlargement, state capitalisms and dual economies.

Methodology: New Institutionalism, International Political Economy, Comparative Politics, empirical analyses.

2. Main focus of research

A basic part of my research and teaching activities deals with general aspects of economics, political science, and international relations. In particular, this means adapting up-to-date research outcomes to teaching needs, producing adequate classroom materials (including those suitable for case studies and electronic media), and identifying policy implications of theoretical analyses. Thus, research, teaching, and knowledge transfer are closely linked, and it is ensured that the solution of real-world-problems becomes the driving force of research and teaching.

Field of research: Development and transition processes in Central and Eastern Europe, Central Asia as well as East Asia

One of the key objectives of research activities in this field is to analyze the sequencing and timing problems of institutional and structural reforms as well as the integration of transition countries and emerging economies into international structures. Moreover, it is explored which contribution can be made by different economic and political theories to explain transition and development processes and to derive suitable policy implications. In this context, neoclassical, post-Keynesian, institutional, and evolutionary approaches are explicitly taken into consideration.

Field of research: European integration and policy making

The European integration process has been another focus of my research. The goal is to analyze the importance of order and competition policy as well as the role of public sector institutions and to examine whether or not national traditions in economic policy making impede or support the integration process. Furthermore, a new research project investigates the tension between deepening and enlarging the European Union. In this context, not only politico-economic aspects of EU enlargement are discussed. The project provides also an indepth theoretical analysis based on club theory. This promises to yield considerable policy implications that will help institutionally safeguard the European enlargement and integration process.

In addition, further research activities explicitly address the innovation and technology policy in Europe with a special focus on the importance of small and medium sized enterprises (SMEs): The study (written on behalf of the European Commission) "Fostering Convergence and Cohesion in European Integration: New Modes of Implementing Research and Innovation Policy in EU-Accession Countries" analyzes the economic framework conditions, which should be crafted for SMEs in the new member countries in order to enhance their innovation capacities, to close persistent technological gaps, to realize network effects, and to facilitate diffusion processes.

Field of research: Market and State – Governance, institutions and economic development

An important focus of my research has been the New Institutionalism and the New Political Economy as well as their application to problems of European integration and policy reform in less developed countries and economies in transition. Methodologically, the goal of this research has been to scrutinize the applicability of transaction cost and contract theoretical approaches as well as principal-agent models to problems of these countries. As regards content, the main objective has been to analyze the changing role of the state in the process of economic development and to investigate how politico-institutional restrictions should be crafted so that the adaptive efficiency of the economy and the polity can be enhanced, structural adjustment programs will be implemented more effectively, and public goods can be produced in an adequate manner.

The overarching goal is to develop a coherent concept of governance, that is suitable to analyze the institutional interactions of the polity and the economy in less developed and transition countries in a comparative way and to identify the conditions of a secure politicoinstitutional foundation of economic development and sustainable long-run growth.

Field of research: Varieties of Capitalism and Comparative Political Economy

At present, my main research focus concerns the project "Emerging Market Economies in Central Asia: The Role of Institutional Complementarities in Reform Processes". This project, financed by the VolkswagenFoundation, aims at analyzing the institutional arrangements currently being developed and redefined in Central Asian economies. It expands the "Varieties of Capitalism" (VoC) research agenda to transition countries in Central Asia; notably Kazakhstan and Uzbekistan, and it is driven by the following goals:

- to contribute in a comparative manner to a better understanding of political-economic developments in Central Asia;
- to further the VoC research agenda by applying the approach to transition economies, notably to Central Asian economies;
- to determine the influence of external actors in the transformation process in Central Asia and to develop relevant policy recommendations;
- to elaborate the impact of distinct capitalist variations in Central Asia on economic performance indicated by economic growth, income distribution, and socio-economic indicators.

From a theoretical perspective, the research contributes to the wide body of political-economic research examining different capitalist systems. From a policy perspective, the project develops analytical guidelines of institutional development in the countries under investigation, suggests reform proposals, and produces innovative country-specific policy recommendations.

The research project is unique in several respects. First, the impact of institutions on economic and social performance in Central Asian countries is analysed in a systemic and comparative way. Secondly, the VoC research has not yet been extended to cover countries from the former Soviet Union. Thirdly, the analysis of Central Asian economies and their institutional settings yields significant contributions to the literature on comparative political economy and the political economy in transition. Finally, the VoC perspective is suitably complemented by approaches of the New Institutional Economics (NIE) and the New Political

Economy (NPE) in order to account for institutional change over time in a dynamic context of systemic transition. This project has been complemented and extended by two more recent projects: (i) The state as a catalyst of development? Central Asia from the viewpoint of the developmental-state approach" and (ii) state capitalisms and dual economies. Both projects, financed through the BMBF Programme to strengthen and develop regional studies, focus on Central Asia and the Caucasus with East Asian countries and Cuba as reference cases.

Field of research: Globalization and International Political Economy

Besides the Central-Asia project and the other projects mentioned above, there is yet another research area that guides current activities. The project "Beyond the Nation State: Global Institutions and International Organizations" seeks to analyze the implications of globalization processes on the prospects and limits of national economic policy making. Moreover, it aims at discussing the necessity of new global rules and at analyzing the importance of international organizations and multinational corporations. The overall goal of this project is to better understand the implications of globalization for the functioning of national institutions and to elaborate policy implications which can help reduce transaction costs and safeguard the globalization process.

Publications

Monographs and edited works

- State Capitalism in Eurasia. Singapore: World Scientific, 2017 (jointly with Martin C. Spechler and Herman W. Hoen).
- [2] Politics and Legitimacy in Post-Soviet Eurasia. Houndmills, Basingstoke: Palgrave Macmillan, 2016 (edited with Martin Brusis and Martin Schulze-Wessel).
- [3] Economic Development in Central Asia: Institutional Underpinnings of Factor Markets. Emerging Markets Studies. Frankfurt/Main: Peter Lang, 2014 (edited with Herman W. Hoen).
- [4] Institutional Reform in Central Asia. Politico-Economic Challenges. Central Asian Studies. London and New York: Routledge, 2013 (edited with Herman W. Hoen).
- [5] Good Governance in the 21st Century: Conflict, Institutional Change and Development in the Era of Globalization. Cheltenham: Edward Elgar, 2011 (edited with Rolf Caspers and Janina Weingarth).
- [6] **Zehn Jahre Vertrag von Maastricht.** Berlin: Duncker & Humblot, 2003 (edited with Renate Ohr).
- [7] Governance and Economic Development. A Comparative Institutional Approach. Cheltenham: Edward Elgar, 2002.
- [8] Übungsbuch Mikro- und Makroökonomik. Göttingen: UTB, 1993 (2nd edition: 1996)
 (jointly with C. Daseking, J. Graf Lambsdorff, J. Müller).
- [9] Der russische Systemwandel. Reform und Transformation des (post)sowjetischen Wirtschaftssystems. Frankfurt am Main: Peter Lang, 1994.
- [10] Sustainability of economic and institutional change in Central Asia. Economic and Environmental Studies, Vol. 10, No. 2, 2010 (edited with Joost Platje).

Journal articles

[11] Large-Scale Transition of Economic Systems – Do CEECs Converge Toward Western Prototypes? Comparative Economic Studies, Vol 58, No. 3, 2016 (jointly with Markus Ahlborn and Rainer Schweickert).

- [12] Varieties of Capitalism and Government Spending in Developed and Developing Countries, *Journal of Economic Development*, Vol. 40, No. 1, March 2015 (jointly with Rainer Schweickert and Juliane Zenker).
- [13] Emulating Developmental States? The Institutional Foundations of Economic Transition in Kazakhstan (in English and French language). Critique internationale. Revue comparative de sciences sociales, 63, avril-juin 2014(2) (jointly with M. Stark)
- [14] The politico-institutional foundation of economic transition in Central Asia: Lessons from China. *Economic and Environmental Studies*, Vol. 10, No. 2, 2010.
- [15] The politico-institutional foundation of economic transition in Central Asia: Lessons from China (in Chinese language). *Russian Studies*, 2009, No. 1.
- [16] Beyond the Big-Bang Enlargement: Citizens' Preferences and the Problem of EU Decision Making. *Journal of European Integration*, Vol. 29, No. 4, 2007. (jointly with M. Meurers and C. Renner).
- [17] North Korea: From Failing towards Reforming State? North Korean Review, Vol. 3, No. 2, 2007
- [18] The perspectives of common policies in an increasingly heterogeneous European Union. Jahrbuch für Wirtschaftswissenschaften / Review of Economics, Vol. 58, No. 2, 2007. (jointly with R. Ohr und G. Zeddies).
- [19] The importance of governance in risk reduction and disaster management. *Journal* of Contingencies and Crisis Management, Vol. 14, No. 4, 2006 (jointly with P. Rudolph).
- [20] Institutional Change and Economic Transition: Market-Enhancing Governance, Chinese-Style. European Journal of Comparative Economics, Vol. 3, No. 1, 2006 (jointly with P. Mengeringhaus).
- [21] Market-enhancing governance on the Korean peninsula: the role of credibility and transitional institutions. North Korean Review, Vol. 2, No. 1, 2006.
- [22] **Deepening Integration in an Enlarged EU: A Club-theoretical Perspective.** *Journal of European Integration*, Vol. 27, No. 4, 2005 (jointly with H.W. Hoen and R. Ohr).
- [23] How Governance Affects the Quality of Policy Reform and Economic Performance: New Evidence for Economies in Transition. Journal for Institutional Innovation, Development and Transition (IB Review), Vol. 6, 2002 (jointly with M. Meurers).
- [24] Governance and the Implementation of Technology Policy in Less Developed Countries. Economics of Innovation and New Technology, Vol. 11, No. 4-5, 2002.

- [25] Toward a Post-Washington Consensus: The Importance of Governance Structures in Less Developed Countries and Economies in Transition. Journal for Institutional Innovation, Development and Transition (IB Review), Vol. 4, 2000.
- [26] Prospects of Institutional and Policy Reform in India. Toward a Model of the Developmental State? Asian Development Review, Vol. 15, No. 1, 1999.
- [27] Economic Development, the State, and the Importance of Governance in East Asia. *Economic Systems*, Vol. 22, No. 1, 1998.
- [28] The Political Economy of Policy Reform in Russia: In Search of Developmental Institutions. *Baltic Journal of Economics*, Vol. 1, No. 1, 1998.
- [29] Theoretische Grundlagen f
 ür die Transformationspolitik in Ru
 ßland. Osteuropa-Wirtschaft, Vol. 42, No. 1, 1997.
- [30] Special Economic Zones in Central and Eastern Europe: Shortcut or Roundabout Way Towards Capitalism. Intereconomics, Vol. 30, No. 2, 1995 (jointly with A. Meyer-Baudeck).
- [31] Systemtransformation von unten Über die Bedeutung der national-staatlichen Ordnung für den russischen Systemwandel. Osteuropa-Wirtschaft, Vol. 40, No. 1, 1995.
- [32] The Importance of German-CIS Trade. Eurasion Reports, Vol. 1, No. 1, 1993.
- [33] Die Rolle westlicher Industriestaaten bei der Transformation sozialistischer Volkswirtschaften – Einige grundsätzliche Anmerkungen zu den Umgestaltungsprozessen in Polen, Ungarn und der CSFR. LIST-Forum für Wirtschafts- und Finanzpolitik, Vol. 19, No. 1, 1993.
- [34] Wirtschaftsbeziehungen zwischen der Gemeinschaft Unabhängiger Staaten (GUS) und Deutschland. Osteuropa-Wirtschaft, Vol. 38, No. 1, 1993.

Book chapters

[35] State Capitalism in Eurasia: A Dual-Economy Approach to Central Asia. In: M. Brusis et al. (eds.), *Politics and Legitimacy in Post-Soviet Eurasia*. Houndmills, Basingstoke: Palgrave Macmillan, 2016 (jointly with H.W. Hoen and M.C. Spechler).

- [36] Institution building and institutional performance of production factor markets in Central Asia. In: J. Ahrens and H.W. Hoen (eds.), Economic Development in Central Asia: Institutional Underpinnings of Factor Markets. Emerging Markets Studies. Frankfurt/Main: Peter Lang, 2014 (jointly with H.W. Hoen).
- [37] Unabhängige Organisationen in autoritären Regimen: Widerspruch in sich oder effektives Instrument von Developmental States? In: T. Theurl (ed.), Unabhängige staatliche Institutionen in der Demokratie. Berlin: Duncker & Humblot 2013; English version Independent Organizations in Authoritarian Regimes: Contradiction in Terms or an Effective Instrument of Developmental States? In: B. Seliger, J. Sepp, R. Wrobel (eds.), East Asia and Eastern Europe in a Globalized Perspective. Lessons from Korea and Estonia. Frankfurt am Main: Peter Lang, 2015 (jointly with M. Stark).
- [38] Economic Transition and Institutional Change in Central Asia. In: J. Ahrens and H.W. Hoen (eds.), *Institutional Reform in Central Asia. Politico-Economic Challenges*. Central Asian Studies. London and New York: Routledge, 2013 (jointly with H.W. Hoen).
- [39] Transitional institutions, institutional complementarities and economic performance in China. In: B. Seliger et al. (eds), *Die Soziale Marktwirtschaft als Vorbild in internationalen Krisen*. Ordnungspolitische Dialoge 3. Frankfurt/Main: Peter Lang, 2012; earlier published as a working paper in: W. Pascha et al. (eds.), *Institutional Foundations of Innovation and Competitiveness in East Asia*, Duisburg Working Papers on East Asian Studies, No. 72/2007 (jointly with P. Jünemann).
- [40] Adaptive efficiency and pragmatic flexibility: characteristics of institutional change in capitalism, Chinese-style. In: W. Pascha et al. (eds.), *Institutional Variety in East Asia. Formal and Informal Patterns of Coordination*, Cheltenham, UK and Northampton, MA: Edward Elgar, 2011 (jointly with P. Jünemann).
- [41] Governance, Development, and Institutional Change in Times of Globalization. In:J. Ahrens et al. (eds.), *Good Governance in the 21st Century: Conflict, Institutional Change and Development in the Era of Globalization.* Cheltenham: Edward Elgar, 2011.
- [42] Small Countries Useful Lessons; Governance in EU Accession Countries and in East Asia. In: J. Ahrens et al. (eds.), Good Governance in the 21st Century: Conflict, Institutional Change and Development in the Era of Globalization. Cheltenham: Edward Elgar, 2011.

- [43] Governance, institutioneller Wandel und Wirtschaftspolitik. In. R. Ohr (ed.), Governance in der Wirtschaftspolitik. Berlin: Duncker & Humblot, 2010.
- [44] The Concept of the Social Market Economy for Transition Countries. In: B. Seliger et al. (eds.), Das Konzept der Sozialen Marktwirtschaft und seine Anwendung: Deutschland im internationalen Vergleich. Frankfurt/Main: Peter Lang, 2009.
- [45] Who Shall Decide What? Citiziens' Attitudes Towards Political Decision Making in the EU. In G. Gelauff, I. Grilo and A. Lejour (eds.), *Subsidiarity in Economic Reform in Europe*, Berlin: Springer, 2008 (jointly with M. Meurers and C. Renner).
- [46] Assigning Policy Competencies to different Levels of EU Governance. In: H.W. Hoen and T.J. Selck (eds.), Europa: Charming Zeus ... and Numerous Others! International Political Economy of EU Accession. Leuven: Peeters, 2007 (jointly with H.W. Hoen).
- [47] Spannungen im europäischen Mehrebenensystem durch heterogene Präferenzen: Zur Notwendigkeit einer flexibleren Integrationsstrategie der EU. In R. Ohr (ed.), Europäische Union ohne Grenzen? Berlin: Duncker & Humblot, 2007 (jointly with M. Meurers and C. Renner).
- [48] Governance in the process of economic transformation. In M. Jovanovic et al. (eds.), System Transformation in Comparative Perspective. Affinity and diversity in institutional, structural and cultural patterns, Münster: LITVerlag, 2007.
- [49] Safeguarding Economic Cooperation, Reform, and Development on the Korean Peninsula. In: L.-J. Cho, C.-S. Ahn, C.N. Kim (eds.), A Changing Korea in Regional and Global Contexts. Honolulu: East-West Center, Seoul: National University Press, 2004.
- [50] Der Konflikt zwischen islamischer und westlicher Welt die Rolle von Vertrauen und Institutionen. In: M. von Hauff, U. Vogt (eds.), Islamische und westliche Welt – Zur Neuorientierung der Kooperation in Politik, Wirtschaft und Entwicklungszusammenarbeit. Marburg: Metropolis, 2004 (jointly with J. Hinsch).
- [51] Prospects and Problems for Korean Economic Integration: A Long Term View. In: E.K. Choi, Y. Merrill, E.H. Kim (eds.), North Korea in the World Economy. London: Routledge, 2003.
- [52] Per aspera ad astra? Zum Prozess der monetären Integration in der Europäischen Union. In: J. Ahrens, R. Ohr (eds.), Zehn Jahre Vertrag von Maastricht. Berlin: Duncker & Humblot, 2003.

- [53] Institution Building und Human Resource Development in Niedrigeinkommensländern: Das Beispiel Laos. In: R. Schubert (ed.), Entwicklungsperspektiven von Niedrigeinkommensländern – Zur Bedeutung von Wissen und Institutionen. Berlin: Duncker & Humblot, 2001.
- [54] Governance, Conditionality, and Transformation in Post-socialist Countries. In: H.
 W. Hoen (ed.), Good Governance in Central and Eastern Europe. The Puzzle of Capitalism by Design. Cheltenham: Edward Elgar, 2001.
- [55] Makro-Gouvernanz und kulturelle Faktoren in der Systemtransformation: Ein konzeptioneller Ansatz aus institutionenökonomischer Sicht. In: H.-H. Höhmann (ed.), Kultur als Bestimmungsfaktor der Transformation in Osteuropa: Konzeptionelle Entwicklungen – empirische Befunde. Bremen: Temmen, 2000.
- [56] Perspektiven für die Ordnungspolitik in Europa. In: K. Farmer, H.-W. Wohltmann (ed.), Quantitative Wirtschaftspolitik in offenen Volkswirtschaften. Theoretische Ansätze und aktuelle Entwicklungen. Münster: LITVerlag, 1998 (jointly with D. Sakowsky).
- [57] The Transition to a Market Economy: Are there Useful Lessons from History? In: A. Schipke, A.M. Taylor (eds.), *The Economics of Transformation: Theory and Practice in the New Market Economies*. Berlin: Springer-Verlag, 1994.

Working papers and studies with international organizations

- [58] The Business of Government: The State as Obstacle or Facilitator for Private Investment in Central Asia? EBS Business School Research Paper No. 14-04, 2014 (jointly with Manuel Stark and Florian Täube)
- [59] Economic reform and institutional change in Central Asia: towards a new model of the developmental state? PFH Working Paper 2012–5, Göttingen 2012 (jointly with Manuel Stark)

- [60] Credible commitment, institutions, and policy reform: a comparative institutional view of public-governance reform in Central and East Asia, August 2011 (jointly with Manuel Stark and Roman Vakulchuk)
- [61] **Capitalist Variations in OECD, less developed and transition economies**; August 2011 (jointly with Gerhard Toews)
- [62] Improving the Investment Climate in Central Asia: Lessons from East Asia? February 2009.
- [63] Transition towards a Social Market Economy? Limits and Opportunities. Ordnungspolitischer Diskurs / Discourse in Social Market Economy 2008 – 5, Westsächsische Hochschule Zwickau.
- [64] Fostering Convergence and Cohesion in European Integration: New Modes of Implementing Research and Innovation Policy in EU-Accession Countries. Study for the European Commission, Brussels, April 2002.
- [65] Public Sector Governance in the EU: The Importance of Competition, Meta-Institutions, and Adaptive Efficiency. July 2001.
- [66] Institutions, Governance, and Economic Performance in Post-Socialist Countries: A Conceptual and Empirical Approach. February 2001 (jointly with M. Meurers).
- [67] Vertiefung vs. Erweiterung: Probleme der europäischen Integration aus Sicht der Neuen Institutionenökonomik. January 2001.
- [68] Demokratisierung, Zivilgesellschaft und wirtschaftliche Entwicklung in Südkorea. June 2000.
- [69] Key Governance Issues in Lao PDR: Stocktaking and Implications for the Bank's Operational Strategy, Asian Development Bank, June 1998.
- [70] Contributions to: "Country Assistance Strategy" for Vietnam and Laos in 1998, Asian Development Bank, Manila/Philippines 1998.

Smaller contributions and book reviews

- [71] **Was Afrika von Asien lernen könnte**, *Frankfurter Allgemeine Zeitung (FAZ)*, Der Volkswirt, 20. März 2017 (mit Axel Wölk).
- [72] Staatskapitalismus muss nicht schlecht sein, Frankfurter Rundschau (FR), 20.Dezember 2016 (mit Herman W. Hoen und Axel Wölk).

- [73] Bessere Governance durch mehr Flexibilisierung, Beitrag zum Zeitgespräch im Wirtschaftsdienst, 2012/4.
- [74] Viewpoint: The German Economy, The Analyst, Vol. XIV, No. 5, May 2008.
- [75] **Building science, technology and innovation policies**, Policy Brief *Science and Development Network*, May 2005.
- [76] Die Europäische Verfassung: Katalysator oder Hemmnis für den Integrationsprozess? CeGE-report, October 2004.
- [77] Comment on "Russia's Trade Relations: Structural Adjustment, Geographical Patterns and Terms of Trade" (Olga Nosova), in: P.J.J. Welfens, R. Wiegert (eds.), Economic Growth and Economic Opening Up in Russia. Berlin: Springer Verlag 2004.
- [78] EU-Osterweiterung: Erfordert eine steigende Heterogenität der Mitglieder flexiblere EU-Institutionen? (jointly with M. Meurers) *ifo-Schnelldienst*, 19/2003.
- [79] Verstärkte Zusammenarbeit Ein Katalysator für die Europäische Integration? CeGE-report, February 2003.
- [80] Vertrauen und Sicherheit als Voraussetzungen für ökonomisches Handeln, *ifo-Schnelldienst*, 1/2003.
- [81] Pomfret, Richard , *The Central Asian Economies since Independence*, Princeton, NJ: Princeton University Press, published in: *Comparative Economic Studies*, Vol. 49, 2007.
- [82] Feng, Yi (2003), Democracy, Governance, and Economic Performance: Theory and evidence, Cambridge, MA: MIT Press, published in: Comparative Economic Studies, Vol 47, 2005.
- [83] Root, Hilton L. (1996), Small Countries, Big Lessons. Governance and the Rise of East Asia. Hong Kong: Oxford University Press; published in: Kyklos, Bd. 50, Nr. 3, 1997.
- [84] Campos, Jose Edgardo and Hilton L. Root (1996), *The Key to the Asian Miracle. Making Shared Growth Credible*. Washington, D.C., The Brookings Institution; published in: *Journal of Institutional and Theoretical Economics*, Bd. 153, Nr. 3, 1997.
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